



Mosaic NED - INEDs in Asset and Fund Management

This CPD accredited programme (eight half days over a three week period) was developed by Mosaic NED in response to the FCA Asset Management Market Study requirements introduced in 2019.

Designed to support and prepare prospective INEDs in Asset and Fund Management, it is run in partnership with ZISHI Cornerstone, an accredited CPD training provider. It provides a knowledge tool kit for aspiring and newly appointed NEDs to help them add comprehensive value in the Boardroom.

With its focus on the current issues and developments in Asset and Fund Management, the course;

- Draws upon the expertise of SME speakers
- Allows debate and challenge from participants
- Encourages active involvement from the delegates through case study examples and practical real world exercises

For these reasons we believe it is uniquely placed to provide delegates with a rounded knowledge of what they can expect, and how they can use their skills and experience for future INED roles.

This course is designed for anyone with Executive experience who wants to utilise their skills and see if they are ready and willing to transition into the Non-Executive space within Asset & Fund Management. We encourage and welcome applications for programme enrolment from multiple disciplines.

The full course includes:

- Pre/Post course reading and access to online material to help you prepare and supplement course knowledge
- Access to pre-eminent practitioners in their field leading the workshop sessions over 8 half day sessions
- Guest expert speakers for facilitated debate and discussion
- Ability to join with like-minded individuals and creating ongoing dialogue and discussion (bios will be requested, subject to individual delegate agreement and circulated to other delegates to allow networking during and post programme)
- At completion, an accredited CPD Certificate to evidence attendance as part of any Fit & Proper requirements
- First year Affiliate or Membership (dependent on eligibility) fee with the Chartered Institute of Securities and Investment with joining fee waived
- Access to discounted CPD workshops post event with ZISHI Cornerstone for a full calendar year

Agenda for the full virtual course with workshop breakdown will be provided ahead of the course with detailed timings and includes the following:

- Corporate Governance
- Fund legal structures and responsibilities
- NED responsibilities in the SMCR
- Product Governance and Customer Duty of care



- ESG and Climate change agenda and NED challenge
- ESG dashboards and NED involvement
- Behavioural factors and Board dynamics
- Assessment of Value Reports, including FCA findings together with practical case studies
- Investment case studies – challenging of portfolio managers
- NED role in change (Culture across the key committees)
- NED role in innovation – including new asset classes
- Preparing for an FCA visit and interview
- Additional self-directed learning activities which will be debriefed

Guest speakers will be covering - the role as Chair and other key committees, the relationship with the Chair, personal and expert experience of applying for an INED roles and a CISI Integrity Matters activity.

For more detail on the evolution of the Mosaic NED proposition please see the website including testimonials from previous attendees.

[MosaicNED - Sourcing and training Independent Non-Executive Directors](#)

Workshop dates and times are:

Date	Time	Topic coverage
9 th November	9:30 – 13:15	Regulatory Expectations of Non-Executive Directors and UK Fund legal structures
10 th November	9:00 – 13:15	Corporate and Product Governance and integrity debate
15 th November	9:30 – 12:45	Assessment of Value and FCA
16 th November	9:00 – 13:15	ESG - the NED role to challenge and use of dashboards
21 st November	9:30 – 13:15	Lessons from Behavioural Science
22 nd November	9:30 – 13:15	Assessment of Value Case Study and Developments in Asset Management (use of technology, LTAFs and new asset classes)
30 th November	9:30 – 13:00	Investment portfolio case study review
1 st December	9:30 – 13.15	NED Role Leading Change (Culture and the Consumer Duty) and Preparing for and FCA Visit (including practical)

Cost £2080 plus VAT (Total £2496)

To secure and reserve your place on this course please email <mailto:michelle.searle@thezishi.com>