

ADVICE MATTERS

The CPD Solution For Financial Professionals

2023 | Vol 01 | Edition 04

In this issue

02 Welcome

03 Staying on Track: Crime Risks in the World of Cryptocurrency

07 Tech Check: An introduction to Private Equity

12 Skills & Expertise: Dear CEO Letter – Ignore it at your Peril

16 Links to FCA documents

17 Learning outcomes

18 The ApEx standards

1HR ^{of structured} CPD
Accredited by

The London Institute
of Banking & Finance

Industry
updates

Key factors for
your business

Better interviews
with your clients

Technical
know how

In partnership with



ZISHI



access Learning



UK
FINANCE

Welcome to the last edition of Advice Matters for 2023 – where did this year go?

Welcome

A number of you will be thinking I know where my year went, heavily involved in Consumer Duty and that would include a number of us also. But just because the implementation date has long since passed, it has to remain high on any firm's agenda. We are now beginning to see the impact of those firms that haven't taken the requirements as seriously as others. Our final article for this edition deals with just that subject majoring on the Dear CEO letter that went out to Wealth Management and Stockbroker Firms in October – never ignore a Dear CEO letter. Our other two articles are just as interesting and informative; An introduction to Private Equity and a topic that has been hotly debated at many a forum recently - Crime Risks in the World of Cryptocurrency – a need for greater regulatory oversight is a definite must as another route for money laundering.

Hopefully in 2024 we will see less fighting and war torn conflict and our thoughts go out to all those that are caught in the crossfire through no fault of their own.

On a happier note we would like to take this opportunity to wish everyone a very merry Christmas and holiday time coupled with a safe and prosperous 2024 .

If you want to speak more about any regulatory conundrum you may have, please do not hesitate to contact us at Info@thezishi.com

Have a great week
The ZISHI Advice Matters Team

ApEx Standards

The learning outcomes and the ApEx Standards can be found at the end of this edition of Advice Matters

Recognised CPD programme

**The London Institute
of Banking & Finance**